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Attorney's Docket No.: 11696-018001 / 0041-55300-US-U-00001.02

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Roger I. Pennell  
Serial No. : 10/046,660  
Filed : October 29, 2001  
Title : CHIMERIC POLYPEPTIDES

Art Unit : 1646  
Examiner : Unknown

**BOX MISSING PARTS**  
Commissioner for Patents  
Washington, DC 20231

COPY OF PAPER  
ORIGINALLY FILED

RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) mailed February 12, 2002 (copy enclosed), applicant claims small entity status (see 37 CFR 1.27) and submits herewith the following:

- ☒ Payment of the basic filing fee of \$370;
- ☒ Payment of the additional/multiple dependent claims fees of \$459;
- ☒ Payment of the surcharge of \$65 for late filing of the basic filing fee and/or declaration;
- ☒ A check in the total amount of \$894 is attached;
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit April 11, 2002

Signature

Kathryn J. Sanderson  
Typed or Printed Name of Person Signing Certificate


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Page : 2

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It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 4-11-2002

  
Anton J. Bokal IV, Ph.D.  
Reg. No. 51,243

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Suite 3300  
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60080629.doc



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/046,660         | 10/29/2001          | Roger I. Pennell      | 11696-018001           |

RONALD C. LUNDQUIST, PH.D.  
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60 South Sixth Street  
Minneapolis, MN 55402

CONFIRMATION NO. 1082

## FORMALITIES LETTER



\*OC000000007460519\*

Date Mailed: 02/12/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$459.
  - \$81 for 9 total claims over 20.
  - \$378 for 9 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 894.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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